

Dep & Ref  
Room 387  
PATENT  
3503-0103P #2

IN THE U.S. PATENT AND TRADEMARK OFFICE

Applicant: William T. GEDDES Jr., et al.  
Application No.: 09/650,045 Group: Unknown  
Filed: August 29, 2000 Examiner: Unknown  
For: BUSINESS TRANSACTION SYSTEM AND METHOD  
ATTENTION: Refund Section  
Accounting Division  
OFFICE OF FINANCE

**REQUEST FOR A REFUND OF 50% OF THE FILING FEE**

**ATTN: REFUND SECTION**

Assistant Commissioner for Patents  
Washington, DC 20231

October 13, 2000

Sir:

The following documentation and remarks are respectfully submitted in connection with the above-identified application.

**DOCUMENTATION**

Attached hereto is a copy of an executed Statement Claiming Small Entity Status filed on even date herewith under separate cover.

**REMARKS**

Pursuant to 37 C.F.R. § 1.28(a), applicants hereby request a refund of 50% of the Filing Fee. Small entity status has been established by the filing of a statement on October 13, 2000.

US PATENT & TRADEMARK  
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OFFICE OF FINANCE  
REFUND BRANCH

Small entity applicants may now file the necessary Statement within two (2) months of the date of payment of any particular fee and request a refund. Attached hereto is a copy of the Statement Claiming Small Entity Status. The present application qualifies for the reduced fees as implemented by the U.S. Patent and Trademark Office on October 1, 1982, and further amended on January 20, 1983.

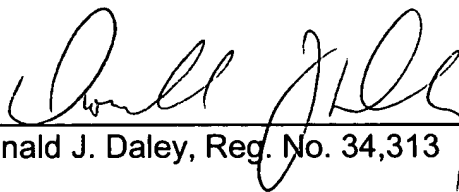
The present application was filed on August 29, 2000 with a filing fee of \$2,754.00. It is respectfully requested that the excess fee of \$1,377.00 be credited to Deposit Account No. 02-2448.

Favorable action on the present Request is respectfully requested.

If necessary, the Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to Deposit Account No. 02-2448 for any additional fee required under 37 C.F.R. §§ 1.16 or 1.17; particularly, extension of time fees.

Respectfully submitted,

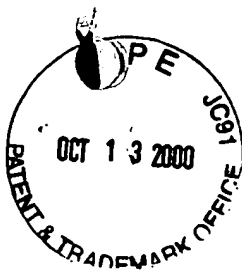
BIRCH, STEWART, KOLASCH & BIRCH, LLP

By   
Donald J. Daley, Reg. No. 34,313

DJD:kna

P.O. Box 747  
Falls Church, VA 22040-0747  
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Attachments



PATENT  
3503-0103P

IN THE U.S. PATENT AND TRADEMARK OFFICE

Applicant: William T. GEDDES Jr., et al.  
Application No.: 09/650,045 Group: Unknown  
Filed: August 29, 2000 Examiner: Unknown  
For: BUSINESS TRANSACTION SYSTEM AND METHOD

LETTER

Assistant Commissioner for Patents  
Washington, D.C. 20231


October 13, 2000

Sir:

Enclosed herewith is a Statement (Declaration) Claiming Small Entity Status (37 C.F.R. §§ 1.9(f) and 1.27(b)) - Independent Inventor (☒ original ☐ photocopy) which should be made part of the record of the present application.

Respectfully submitted,

BIRCH, STEWART, KOLASCH & BIRCH, LLP

By   
Donald J. Daley, Reg. No. 34,313

DJD:kna

P.O. Box 747  
Falls Church, VA 22040-0747  
(703) 205-8000

Attachment

**STATEMENT CLAIMING SMALL ENTITY STATUS**  
**(37 CFR 1.9(f) & 1.27(c)) - SMALL BUSINESS CONCERN**

Docket Number: 3503-0103P

Applicant, Patentee, or Identifier: William T. GEDDES, Jr., Peter N. CHASE, Thomas G. GHERARDI

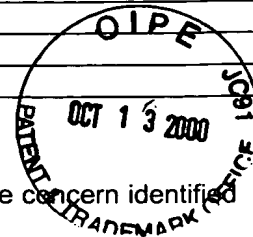
Application or Patent No.: 09/650,045

Filed or Issued: August 29, 2000

Title: BUSINESS TRANSACTION SYSTEM AND METHOD

I hereby state that I am

- ☐ the owner of the small business concern identified below:  
☐ an official of the small business concern empowered to act on behalf of the concern identified below:



NAME OF SMALL BUSINESS CONCERN GCG, LLC.

ADDRESS OF SMALL BUSINESS CONCERN 9293 Corporate Circle, Manassas, VA 20110

I hereby state that the above identified small business concern qualifies as a small business concern as defined in 37 CFR Part 121 for purposes of paying reduced fees to the United States Patent and Trademark Office, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time, or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby state that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention described in:

- ☐ the specification filed herewith with title as listed above.  
☒ the application identified above.  
☐ the patent identified above.

If the rights held by the above identified small business concern are not exclusive, each individual, concern, or organization having rights in the invention must file separate statements as to their status as small entities, and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).

- Each person, concern, or organization having any rights in the invention is listed below:  
☐ no such person, concern, or organization exists.  
☐ each such person, concern, or organization is listed below.

Separate statements are required from each named person, concern, or organization having rights to the invention stating their status as small entities. (37 CFR 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is not longer appropriate. (37 CFR 1.28(b))

NAME OF PERSON SIGNING William T. Geddes, Jr.

TITLE IN ORGANIZATION OF PERSON SIGNING PRESIDENT

ADDRESS OF PERSON SIGNING 17508 Wildflower Court, Prince William, Virginia 22192-5805

SIGNATURE William T. Geddes, Jr. DATE 10/9/2000